



BUPERS-07

Organization Brief

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Agenda

BUPERS IMO

- Mission Statement
- FY13 Management Focus
- BUPERS IMO Organization
- BUPERS IMO Services
- BUPERS IMO ADE/ADW Initiative
- Delivering Capability
- BUPERS IMO FY11 / FY12 Financial Position
- Metrics / Volume of Products
- BUPERS IMO Customers
- Issues / Challenges



Mission Statement

BUPERS IMO

- BUPERS IMO Mission Support Statement:
“To deliver secure and reliable mission capabilities while improving alignment and business performance through Information Resource Management, Enhanced Cyber Security, Technical Controls, and Enterprise Architecture”

Supporting:

- BPM/NPC Mission Statement:
“Manning the Fleet with ready Sailors – Supporting their ability to serve from beginning to end”
- NRC Mission Statement:
“Recruit the best men and women for America's Navy to accomplish today's missions and meet tomorrow's challenges.”
- NAVMAC Mission Statement:
“We define, translate, and classify the Navy's work into a workforce structure and position demand signal to sustain a combat ready force.”
- CNRFC Mission Statement:
“Navy Reserve: Ready Now. Anytime. Anywhere.”



FY13 Mgmt Focus

BUPERS IMO

- Support BPM/NPC, NRC, NAVMAC, CNRFC Vision and Mission
 - "Provide Secure, Reliable, Next Generation Information Technologies and Business Capabilities"
 - Continue to grow as a responsive, customer driven organization
 - Improve BUPERS "Data Management" capability by refining data governance and data management processes
 - Improve IT investment management capability through disciplined Portfolio Management processes
 - Improve the Millington security/IA posture
 - Provide Project Management support and project oversight to ensure cost, schedule, and performance goals are met
 - Provide timely NGEN/NMCI support services
- BUPERS IMO FY11/12/13 Human Capital Strategy
 - Define/Develop Core Competencies for IT Workforce
 - Stand up Business Process Management Workforce
 - Stand up Data Management Workforce
 - Right Sizing Government Workforce
 - Developed Hiring Standards / Process
 - In-sourcing Initiative (RMD 802)
- IT Financial Management / Investment Control
 - Support the POM Process
 - Quarterly Program Review (QPR)
- Transparency in IT System Availability Status Reporting
- Authoritative Data Environment Build Out
- Migration to iNavy SharePoint Portal
- Disaster Recovery / Business Continuity Planning
- Team Building Efforts with Jill Bridges
- Communication Plan
- BUPERS 07/08 Merge



BUPERS IMO Organization

BUPERS IMO

Billets

Gov Civ	32	(15V)
Mil	7	(3 V)
Cont	7	
Total	46	
*Cont	19	
*Total	65	

Information Management
Office
BUPERS 07
BUPERS Command IO

Gov Civ- 4
Mil - 0
Cont - 0

Exec
Assistant

Deputy
Director

CTO

Capital Planning
NMCI Branch
BUPERS - 071

Information
Resources Mgt Br
BUPERS-072

IA Support
Branch
BUPERS - 073

Project Mgt
Support Branch
BUPERS - 074

B I, Innov& Tech
Branch
BUPERS - 075

Gov Civ- 8 (2V)
Mil - 1
Cont - 1

Gov Civ-10(6 V)
Mil - 1(1V)
Cont - 0 (*15)

Gov Civ- 3 (2 V)
Mil - 2 (1 V)
Cont - 0

Gov Civ- 7 (5V)
Mil - 2 (1V)
Cont - 6

Gov Civ- 0
Mil - 1
Cont - 0 (*4)

*19 contractors on
special projects not
funded throughout the
FYDP

*BUPERS Information Management Office structure as of
13 Nov 2012
This is NOT an approved structure*



BUPERS IMO Instructions

BUPERS IMO

- BUPERSINST 5450.56
 - Reporting Relationships, Roles, & Responsibilities of BUPERS CIO
 - Signed by RADM Quinn 6 Dec 10
- BUPERSINST 5230.5
 - IT Quarterly Program Reviews
 - Signed by RADM Quinn 22 Dec 09
- BUPERSINST 5230.7
 - IT Systems Maintenance/Functional Degradation, Outage & Urgent Situation Policy & Reporting Procedures
 - Signed by RADM Quinn 9 Sep 10
- BUPERSINST 5230.8
 - BUPERS Continuity & Contingency Planning & Sustainment Program
 - Signed by RADM Quinn 29 Sep 11
- BUPERSINST 5230.9
 - Use of Email Digital Signature & Encryption & the Reporting of an Email Breach of Sensitive Information
 - Signed by RADM Covell 16 Feb 12
- BUPERSINST 5239.2
 - Information Assurance Workforce Improvement Program Training, Certification & Workforce Management
 - Signed by RADM Quinn 13 Dec 10
- BUPERSINST 5239.3
 - Information Assurance Internal Audit Program
 - Signed by RADM Quinn 17 Oct 11



BUPERS IMO Services

BUPERS 071 – Capital Planning/NMCI Spt

Mission Support Statement

Ensure Financial resources are properly aligned to support delivery of IT capabilities

Services Offering

- Oversight of IT Program and Budget resources & NMCI required to deliver and sustain IT capabilities
- Monitor IT financial execution
- Serve as the customer's advocate for IT budget formulation activities
- Coordinate with OPNAV N16 for IM/IT resource issue

BUPERS 073 – Information Assurance

Mission Support Statement

*Coordinate preparation of NMCI program budget, POM/PR Issues for submission to OPNAV
Oversee activities that protect and defend information and information systems by ensuring their availability, Integrity, authentication, confidentiality, and non-repudiation*

Services Offering

- Ensure IA, security and privacy policies and guidelines are fully implemented throughout BUPERS to facilitate IA compliance
- Coordinate the development of accurate and timely IA, security, and privacy documentation consistent with DOD and DON policy

BUPERS 072 – Information Resource Mgmt

Mission Support Statement

Ensure effective use of information resources across BUPERS to successfully meet the goals and objectives for delivery of required capabilities

Services Offering

- Support the alignment of business requirements through implementation of Enterprise Architecture (EA), Information Resources Management and Enterprise Information Mgt.
 - Data Management
 - Portfolio Management
 - Architecture standards
 - IT program analysis
 - IT transition planning
- Provide transparency into IT spend
- Promote reuse of existing assets and other IT resources
- IM/IT Compliance

BUPERS 074 – Project Mgmt Spt

Mission Support Statement

Serve as customer advocate, ensuring project management disciplines, procedures & best practices are implemented & followed

Services Offering

- Enable project management discipline by providing project oversight, training and support for critical IT projects
- Coordinate work across service providers
- Support Functional Review Board (FRB) process
- Manage and oversee project lifecycle
 - Monitor project cost, schedule, and performance
- Coordinate design reviews and user acceptance testing
- Capture project metrics, conduct post-implementation



BUPERS-075 Innovation & Technology Special Projects

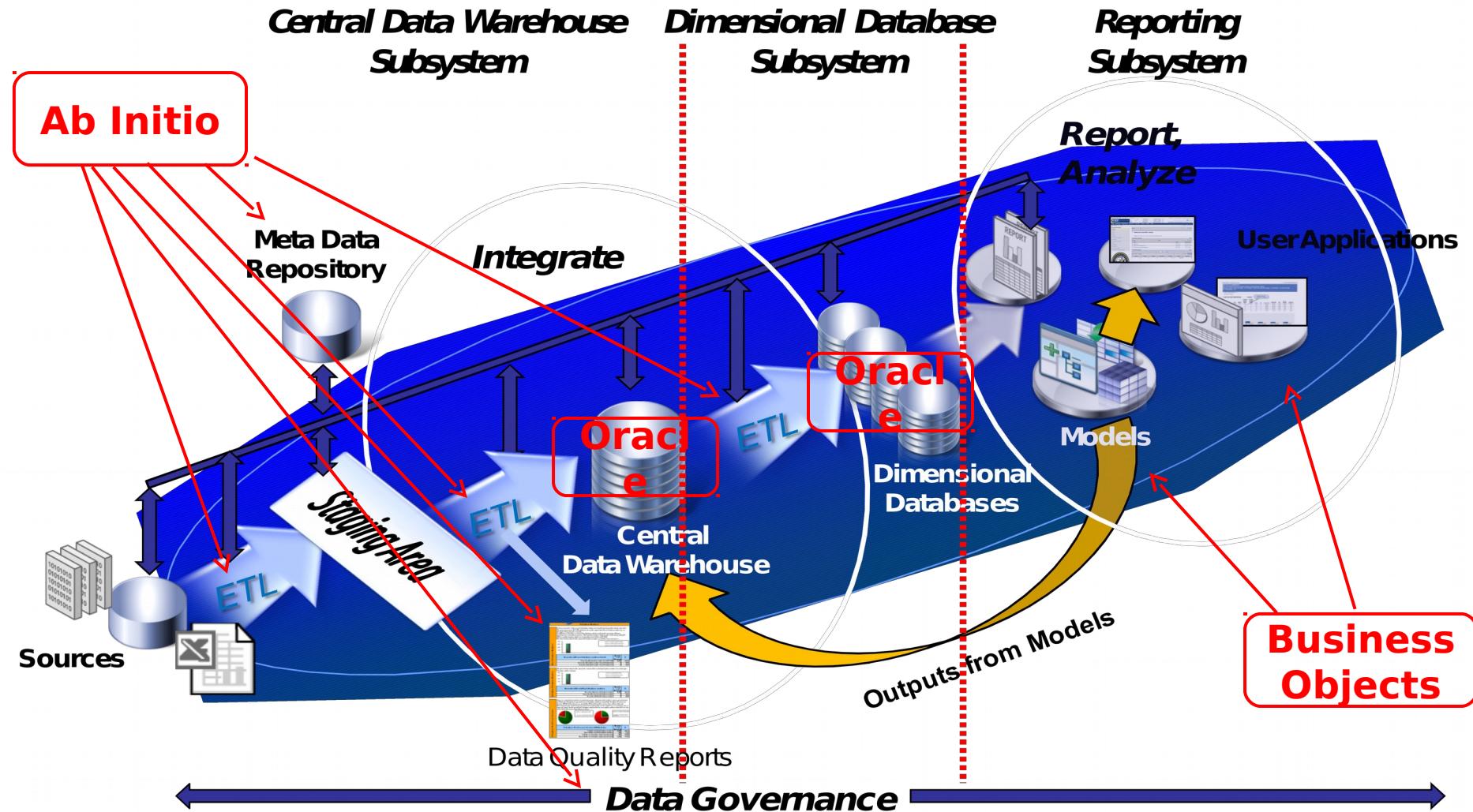
BUPERS IMO

ADE/ADW

- Mission Support Statement:
 - Provide a centralized, accurate, timely, and secure Authoritative Data Environment of Navy personnel information
- Products & Services:
 - Provide process for accessing Authoritative Data Environment to conduct ad-hoc querying and analytics
 - Provide process for extraction of authoritative personnel data for use in other data warehouse systems
 - Provide process for requesting the addition of other data sources and information to the Authoritative Data Environment
 - Created and approved Conceptual Data Model for MPTE, showing interaction between Lines of Business
 - Created, finalized and routed for approval, the Functional Requirements Document (FRD) describing the functional requirements for the overall ADW Project
 - Created, finalized and routed for approval, the Sub-System Specification Document (SSS) describing the functional requirements for the initial phase of the ADW Project, the central warehouse
 - Published “A Primer on the Authoritative Data Environment / Authoritative Data Warehouse” describing the existing state of data warehousing from an enterprise view and the need for a truly authoritative warehouse for MPTE information
 - Obtained Data Governance Toolset (Ab Initio)
 - **Working towards delivery of iteration 1 of the Authoritative Data Warehouse, which is the Back Office Warehouse. Tentative delivery date is Jan 2013.**



ADE/ADW - Systems View





Delivering Capability

BUPERS IMO

- Link to BPM/NPC 2020 Vision
 - FA1 - Effective and Efficient Manning for the Fleet
 - ADE/ADW
 - FA2 - Quality HR Service Delivery for and about the Sailor
 - PASS Transfer
 - Record of Emergency Data/Dependency Application
 - Enhancement for Reserve Drill Management
 - Permanent Change of Station Round-Up
 - MPTE Integrated HR Portal
- Initiatives
 - Business Continuity / Disaster Recovery
 - Consolidated Data Center
 - Migrate NRC into Millington Data Center
 - SPAWAR San Diego COOP
 - DBC Support
 - Business Governance
 - NAV-IDAS
 - Data Management
 - Enterprise Architecture
 - FRB Support (PERS/PAY/DIST)



BUPERS IMO

FY11 Financial Position

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FY-11 CONTROLS

(WHOLE DOLLARS,
O&M,N)

	TOTAL FUNDS	7,482,330
EXPENSES:		
CIVILIAN LABOR: (CARIS)	3,549,364	-4,815,660
MILITARY LABOR	1,266,296	
CONTRACT LABOR:		
BAH - MPT&E SEAPORTE ORDER, M&P PORTION CONTRACT		-1,651,000
TRAVEL:		
CIV Travel	31,322	
MIL Travel	9,371	-40,693
IT TRAINING: (realigned from site EB to Site DC)		
Books		-2,764
IA Training		-24,675
Other Specialized Training		-12,561
NMCI EXPENSES: (N6 funds)		-180,670
OTHER:		
GARTNER RENEWAL SUBSCRIPTION (from EB)	92,605	
IA Services for Millington COOP (from D2)	107,000	
Engineering Services for COOP planning (from D2)	185,380	
Additional IA Service Millington COOP NRC (from D2)	197,000	
Insourced Civilian Labor Expensed - 08/19/2011	83,000	
Military Spouse Civilian Labor Expensed - 08/30/2011	59,000	
Insourced Civilian Labor Expensed - 09/27/2011 (Transferred Across FYDP)	30,000	-753,985
	TOTAL EXPENSES	-7,482,008
FUNDS REALIGNED:		
Realign 1k Risk Radar OSAG D9	1,000	1,000
EOY FUNDS SWEEP UP - AVAILABLE TO NPC COMPTROLLER		
BALANCE		\$ 1,322



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FY12 Financial Position

BUPERS IMO

FY-12 CONTROLS

EXPENSES:

CIVILIAN LABOR: (CARIS)

	TOTAL FUNDS	(WHOLE DOLLARS, O&M,N)
	6,997,698	

-3,959,825

MILITARY LABOR

-1,152,000

CONTRACT LABOR:

-1,502,000

TRAVEL:

CIV Travel	37,598	
MIL Travel	10,253	-47,851

IT TRAINING: (realigned from site EB to Site DC) **-49,000**

NMCI EXPENSES: (N6 funds) **-187,873**

OTHER:

Funds Transferred to DF to cover circuit extensions at NFC 12-13-12	2,000	
EB funds to Central Ops Supply OSAG DA, FD Site D9 (Irwin) 4-20-12	11,000	
EB funds to, FD Site D9 for DAMA 5-8-12	1,000	
GARTNER Renewal Subscription (from EB) 07/16/12	78,672	
EB funds to DD for conference room furniture 8/17/12	2,000	
Risk Radar licenses 08/17/12	3,032	-97,704

TOTAL EXPENSES **-6,996,253**

BALANCE **\$1,445**



Metrics/Volume of Products

BUPERS-071 (NMCI/Cap Pln)

BUPERS-071 KEY PRODUCTION METRICS

NMCI	<p>Processed 16,980 NMCI invoices and pre-invoices (~1,415 per month) with no more than 2% of the pre-invoices processed as 'Non-Validated' (includes Recruiting).</p>
	<p>Completed Unneeded Account Cleanup (UAC) deactivation by finalizing the removal of 824 dormant accounts; this represents a potential overall NMCI program cost avoidance of \$25,428 per month, or \$305,144 annually.</p> <p>Continued support of new NMCI Mobile Recruiting Initiative (MRI), NMCI service for a specialized tablet PC. 4,800+ ordered and deployed against 30 Navy Recruiting task orders.</p>
Capital Planning	<p>110 personal contacts were made with MPTE Financial and NAVCOMPT personnel, as well as the review of 86 pages of budget exhibits during the formulation and preparation of the \$120M IM/IT Budget for OSD, Presidential, and DON Budget Submissions. IT BAM and POM-15 Budget submissions completed.</p>



Metrics/Volume of Products

BUPERS-072 (IRM)

BUPERS-072 KEY PRODUCTION METRICS

Asset Mgmt	Current applications in Portfolio - 561
	Databases registered in DADMS - 108
	Networks - 2
	Servers registered in DADMS - 215
	Devices registered in DADMS - 125
NAV-IDAS Review	Packages submitted - 122
	Packages approved - 89
	Packages rejected - 5
	Dollar Value of approved packages - > \$3M
	Non-Tier Annual Reviews conducted - 24
Annual Review of Defense Business Systems	Tier 3 (2) certifications completed - \$85M
	Interoperability Exemption requests submitted - 15 of 24
	SSN Usage Justification memos completed for DON CIO - 16 of 16
	Tier 4 (1) certifications completed - \$10K
	System records inactivated in DITPR-DON - 4/System records added in DITPR-DON - 3
Enterprise Information Mgmt	Data Element Analysis for Projects - 401
	Data Analysis for other than Projects - 7
	Compliance Issues Researched/Managed - 92
	Data Quality Issues - 0
	Data Exchange Requests - 25
Enterprise Architecture Artifacts	Policies/Guidance Documents Drafted - 4
	Number of system-specific architecture artifacts in the inventory - 171
	Number of operational activities in the 2012 OV-5a Operational Activity Model - 1717
	Number of system functions in the 2012 SV-4 System Function List - 1549
	Number of capabilities in BUPERS CV-2 Capability Model - 235
Enterprise Architecture Statistics	Number of system interfaces identified and documented in MPTE SV-1 - 475
	Number of information exchanges identified in system-specific OV-3s - 225
	Number of architectures integrated with BUPERS EA - 2
	Number of architectures federated with BUPERS EA - 2
	Number of SV-1 Line of Business / FRB Views currently maintained - 23
	Number of SV-6 System Data Exchange Matrices developed - 9



Metrics/Volume of Products

BUPERS-073 (IA)

BUPERS-073 KEY PRODUCTION METRICS

Certification & Accreditation of BUPERS Systems	24 BUPERS Federal Information Security Management Act (FISMA) systems achieving full Certification and Accreditation (17 Authority To Operate; 3 Interim Authority To Operate) 12 Non-FISMA Authorization To Operate: PIKS, CITRIX, REMEDY, PCEDVR, IPOL, Remedy, NASIS, MRI, Legal files, MCS, NDSP, E-mentoring, NPRST
Information Assurance Vulnerability Mgmt Compliance Status	Total Received: 359 (132 Superseded/1 Cancelled/0 Delinquent) IAVA Received: 182 (89 Superseded/0 Cancelled/0 Delinquent) IAVB Received: 151 (42 Superseded/0 Cancelled/0 Delinquent) IAVT Received: 0 (0 Superseded/0 Cancelled/0 Delinquent) CTO Received: 26 (1 Superseded/1 Cancelled/0 Delinquent)
Incidents	COMNAVPERSCOM - 2 COMNAVCRUITCOM - 4 BUPERS - 0 Classified Spillages - 0 Completed 50 document reviews



Metrics/Volume of Products

BUPERS-074 (PMSB)

Functional Review Board	Total Projects	In Work	Monitoring	On Hold	Work Authorized Awaiting Resources	Not Started	Other	Closed
Personnel	106	22	8	2	2	17	8	47
Distribution	7	2	1	0	0	2	0	2
Position Mgmt	14	2	6	0	0	1	0	5
Financial Mgmt	1	1	0	0	0	0	0	0
Recruiting and Accessions	0	0	0	0	0	0	0	0
Workforce Development	0	0	0	0	0	0	0	0
Fleet and Family Support	0	0	0	0	0	0	0	0
Emergent	16	4	0	2	0	0	1	9
TOTALS	144	31	15	4	2	20	9	63



BUPERS IMO Customers

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- Internal Customers:
 - BUPERS
 - CNRC
 - CNRFC
 - CNPC
 - NETC
 - OPNAV N1B, N10, N12, N13, N14, N15, N16
- External Customers:
 - 20 FAMs
 - OUSD(P&R)
 - ASN(M&RA)
 - PEO-EIS
 - SPAWAR
 - DON CIO / DDCIO OPNAV N2/N6
 - DUSN(DDCMO)
 - Fleet N1's
 - OCNR



Issues / Challenges

BUPERS IMO

- Workforce Challenges
 - Aging Workforce (Many at or approaching retirement age)
 - One Deep in some areas
 - In-Sourcing (Difficult to impossible to reach skilled incumbents OPM rules)
 - MPTE IT/IM Alignment